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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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MAR 05 2002

1048

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,642	11/02/2001	Khoi Hoang	60595-301801

CONFIRMATION NO. 8323

FORMALITIES LETTER



\*OC000000007268290\*

Brian R. Coleman  
 OPPENHEIMER WOLFF & DONNELLY LLP  
 1400 Page Mill Road  
 Palo Alto, CA 94304

Date Mailed: 01/04/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

gr

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

RECEIPT  
#3

Applicant: Khoi Hoang Docket No: 60595-301801  
Application No: 10/003,642 Group Art Unit: 2151  
Filed: October 25, 2001 Examiner: Not Assigned Yet  
For: **METHOD AND APPARATUS FOR TRANSMITTING NON-VOD SERVICES**

Office of Initial Patent Examination Division  
Customer Service Center  
Commissioner For Patents  
Washington, D.C. 20231

**TRANSMITTAL REQUEST FOR CORRECTED FILING RECEIPT**

Enclosed herewith for filing in the above-identified application are the following:

- ☒ Request for Corrected Filing Receipt;
- ☒ A red-lined copy of Official Filing Receipt;
- ☒ A copy of the Utility Patent Application Transmittal dated October 25, 2001 with Express Mail Certificate Label EL745081516US;
- ☒ Copy of Postcard with Express Mail Certificate No. EL745081516US;
- ☒ Copy of Express Mail Receipt with Express Mail Certificate No. 745081516US; and
- ☒ Return Postcard for date-stamped return as confirmation of receipt of these items.

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account 02-3964.

Respectfully submitted,

Dated: February 21, 2002

Brian R. Coleman  
Reg. No. 39,145

**OPPENHEIMER WOLFF & DONNELLY LLP**

Customer No. 25696  
P.O. Box 10356  
Palo Alto, California 94303  
TEL: (650) 320-4334  
FAX: (650) 320-4100

**CERTIFICATE OF MAILING (37CFR 1.8 (a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on February 21, 2002 with the U.S. Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination Division, Customer Service Center, Commissioner of Patents, Washington, D.C. 20231.

Date: February 21, 2002

  
Sheena Hicks



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Khoi Hoang                      Docket No: 60595-301801  
Application No: 10/003,642                      Group Art Unit: 2151  
Filed: October 25, 2001                      Examiner: Not Assigned Yet  
For: **METHOD AND APPARATUS FOR TRANSMITTING NON-VOD SERVICES**

Office of Initial Patent Examination Division  
Customer Service Center  
Commissioner For Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Enclosed is a red-lined copy of the Filing Receipt showing the change, for the above-identified patent application, a copy of the Utility Patent Application Transmittal dated October 25, 2001 with Express Mail Certificate Label EL745081516US, Copy of Postcard with Express Mail Certificate No. EL745081516US, Copy of Express Mail Receipt with Express Mail Certificate No. 745081516US, and evidencing the desired correction. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

This application filing date is incorrectly entered as :

**"11/02/2001"**

Please correct this application filing date to read:

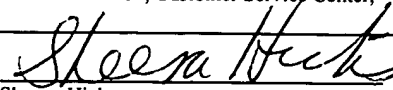
**"10/25/2001"**

Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

**CERTIFICATE OF MAILING (37CFR 1.8 (a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on February 21, 2002 with the U.S. Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination Division, Customer Service Center, Commissioner of Patents, Washington, D.C. 20231.

Date: February 21, 2002


  
Sheena Hicks

Application No: 10/003,642  
Filed: October 25, 2001

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account 02-3964.

Respectfully submitted,

Dated: February 21, 2002

---

Brian R. Coleman  
Reg. No. 39,145

**OPPENHEIMER WOLFF & DONNELLY LLP**

**Customer No. 25696**

P.O. Box 10356

Palo Alto, California 94303

TEL: (650) 320-4334

FAX: (650) 320-4100



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 05 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/003,642	10/25/2001	2151	727	60595-301801	10	41	7

10/25/2001

CONFIRMATION NO. 8323

## FILING RECEIPT



\*OC000000007268289\*

Brian R. Coleman  
OPPENHEIMER WOLFF & DONNELLY LLP  
1400 Page Mill Road  
Palo Alto, CA 94304

Date Mailed: 01/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Khoi Hoang, Pleasanton, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/04/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

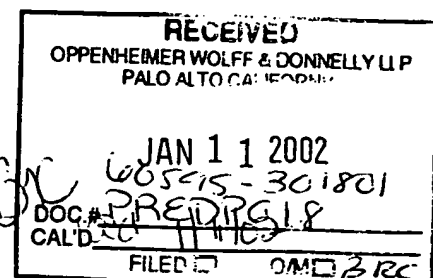
\*\* SMALL ENTITY \*\*

## Title

Method and apparatus for transmitting non-VOD services

Preliminary Class

709





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Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

Attorney's Docket No.: 60595-301801

**FILING TRANSMITTAL**

Transmitted herewith for filing is the Patent Application of : **Khoi Hoang**

For: **A METHOD AND APPARATUS FOR TRANSMITTING NON-VOD SERVICES**

**ENCLOSURES**

EL 745081516US

- ☒ 22 page application including specification, claims and abstract;
- ☒ 10 sheets (Figs. 1 - 10) of ☒ informal/☐ formal drawings;
- ☒ A Declaration, Power of Attorney & Petition (☒ signed/☐ unsigned);
- ☒ A postcard for return to us as proof of receipt of the referenced documents.

and

- ☒ An Assignment of the invention with an assignment cover sheet;
- ☒ Applicant claims small entity status (Under 37 CFR 1.27);
- ☒ IDS (form PTO-1449) and copies of references;
- ☐ An Associate Power of Attorney;
- ☐ A certified copy of the priority document (Under 35 USC 119);
- ☐ A Power of Attorney by Assignee;
- ☐ Other: Request and Certification Under 35 USC 122(b)(2)(B)(i).

**TYPE OF FILING**

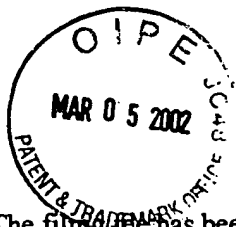
- ☒ This application claims the benefit of an earlier filed Patent Application Number 09/870,879, filed May 30, 2001(35 USC 120).
- ☐ This application claims the benefit of the priority date of an earlier provisional patent application (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

**CERTIFICATE OF MAILING (37 CFR 1.10(A))**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745081516US addressed to the Box Patent Application, Commissioner of Patents, Washington, D.C. 20231 on October 25, 2001 by Tiffany Bell.

Date: October 25, 2001

*Tiffany Bell*  
Tiffany Bell



## FEE CALCULATION

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The filing fee has been calculated as shown below:

	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent	\$160		\$0	\$320	\$
BASIC FEE Utility Patent	\$355		\$355.00	\$710	\$0
EXTRA FEES	RATE		\$0	RATE	FEE
Total claims	41	minus 20 = 21	x9 = \$189.00	x18 =	\$0
Independent Claims	7	minus 3 = 4	x40 = \$160.00	x80 =	\$0
<input type="checkbox"/> Multiple Dep. Claim			+130 = \$0	+260 =	\$0
<input checked="" type="checkbox"/> Assignment			+40 = \$40.00	+40 =	\$0
<input type="checkbox"/> Rule 53 Surcharge			+65 = \$0	+130 =	\$0
TOTAL			\$744.00		\$0

## FEE PAYMENT

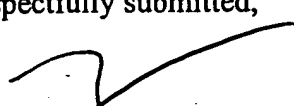
- ☒ Attached is Check No. 126617 in the sum of \$ 744.00 for the cost of the filing fee, assignment recordal fees, and claims
- ☐ Please charge Account No. 02-3964 the sum of \$ \_\_\_\_\_

## FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$ \_\_\_\_\_
- ☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
  - ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☒ A duplicate copy of this sheet is enclosed.

Dated: October 25, 2001

Respectfully submitted,

  
\_\_\_\_\_  
Brian R. Coleman  
Reg. No: 39,145

OPPENHEIMER WOLFF & DONNELLY LLP  
Customer No. 25696  
1400 Page Mill Road  
Palo Alto, CA 94304  
(650) 320-4000

Attorney Docket No.: 60595-301801  
PATENT FILING TRANSMITTAL



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THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE HEREON  
ACKNOWLEDGES RECEIPT OF THE FOLLOWING:

☒ PAT UTIL.      ☐ PAT. DES.      ☐ PROV. PAT

Applicant(s): Khoi Hoang      Serial No.: Unassigned

Filed: Herewith      Group Art Unit: Unassigned

For: A METHOD AND APPARATUS FOR TRANSMITTING NON-VOD  
SERVICES

Enclosed:

- ☒ Filing Transmittal with Certificate of Express Mail No. EL745081516US  
(in duplicate) (2 pages);
- ☒ Non-Provisional Specification /Application (22 pages);
- ☒ 10 Sheets of Drawings (10 Figures) (Informal);
- ☒ Declaration, Power of Attorney and Petition (Signed) (2 pages);
- ☒ Recordation Cover Sheet (1 page); ☒ Executed Assignment (Signed) (2 pages);
- ☒ Information Disclosure Statement Transmittal Letter (1 page);
- ☒ Form PTO-1449 (2 pages); ☒ 39 Cited References;
- ☒ Check No.: 126617 in the amount of \$744.00 for filing fee/assignment recordal  
fees/additional claims; and
- ☒ Return Postcard. Our Ref No.: 60595-301801 Date: October 25, 2001 Initials: BRC/tb

SV: 227785 v01 09/25/2001

879 U.S. PTO

10/003642



11/02/01

10-25-01

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EL 745081516 US

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PHONE: 650 320 4000

60595-301801 BRC/sh  
Mailing date: 8/25/2001

60X PATENT APPLICATION  
ASSISTANT COMMISSIONER  
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0480-7036-0

0480

PATENT

# 761

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Khoi Hoang Docket No: 60595-301801  
Application No: 10/003,642 Group Art Unit: 2151  
Filed: October 25, 2001 Examiner: Not Assigned Yet  
For: **METHOD AND APPARATUS FOR TRANSMITTING NON-VOD SERVICES**

Office of Initial Patent Examination Division  
Customer Service Center  
Commissioner for Patents  
Washington, DC 20231

**NOTICE TO FILE CORRECTED APPLICATION PAPERS TRANSMITTAL**

Enclosed herewith for filing in the above-identified application are the following:

- ☒ Notice To File Corrected Application Papers Transmittal Letter
- ☒ Notice To File Corrected Application Papers
- ☒ Letter to Official Draftsperson;
- ☒ Formal Drawings (10 Sheets); and
- ☒ Postcard for date-stamped return as confirmation of receipt of these items.

Total are no fees due at this time. However, the Commissioner is further authorized to charge any required additional fees, or credit any overpayment, to deposit account 02-3964.

Respectfully submitted,

Dated: February 21, 2002

Brian R. Coleman  
Reg. No. 39,145

**OPPENHEIMER WOLFF & DONNELLY LLP**

Customer No. 25696  
P.O. Box 10356  
Palo Alto, California 94303  
TEL: (650) 320-4334  
FAX: (650) 320-4100

**CERTIFICATE OF MAILING (37CFR 1.8 (a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on February 21, 2002 with the U.S. Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination Division, Customer Service Center, Commissioner of Patents, Washington, D.C. 20231.

Date: February 21, 2002

  
Sheena Hicks